

8-31-00

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

NAI 00.061.01

First Inventor

Victor Kouznetsov

Title

SYSTEM AND METHOD FOR
PROVIDING APPLICATION SERVICES
WITH CONTROLLED ACCESS INTO
PRIVILEGED PROCESSES

Express Mail Label No.

EL566991273US

APPLICATION ELEMENTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification [total pages 31]
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawings(g) [total sheets 5]
4. ☒ Oath or Declaration [signed]
[total sheets 3]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide/Amino Acid Sequence (if applicable)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of said copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (coversheet/document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statemt ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation
10. ☐ IDS & Form 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ Small Entity ☐ Statement filed in prior application—Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
15. ☐ Other:

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION,

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label or ☒ Correspondence address below

Name	William J. KUBIDA, Esq.				
	Hogan & Hartson, LLP				
Address	1200 17 th Street Suite 1500				
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(719) 448-5900	Fax	(719) 448-5922
Name (Print/Type)	Stuart T. Langley		Registration No.		33,940
(Signature)	Stue T Langley			Date	8/30/00

FEE TRANSMITTAL for FY 2000

Complete if Known

Application Number Not Assigned
Filing Date HEREWITH
First Named Inventor Victor Kouznetsov
Examiner Name Not Assigned
Group / Art Unit Not Assigned
Attorney Docket No. NAI 00.061.01

TOTAL AMOUNT OF PAYMENT (\$) (\$690)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR
§ § 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Entity Fee (\$)	Entity Fee (\$)	Fee Description	Fee Paid
690	345	Utility Filing Fee	690.00
310	155	Design filing fee	
480	240	Plant filing fee	
690	345	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$690.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20**= 0	X	
3	-3**= 0		0
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Entity Fee (\$)	Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,210	605	Petition to revive - unintentional	
1,210	605	Utility issue fee (or reissue)	
430	215	Design issue fee	
580	290	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
760	380	Filing a submission after final rejection (37 CFR § 1.129(a))	
760	380	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$730.40)

SUBMITTED BY

Name (Print/Type) Stuart T. Langley

Registration No.
(Attorney/Agent)

33,940

Complete (if applicable)

Telephone

(719) 448-5900

Signature

Stuart T. Langley

Date

8/30/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Victor Kouznetsov, Dan Melchione, and Martin
Fallenstedt

Serial No. _____

Filed: Herewith

For: SYSTEM AND METHOD FOR PROVIDING
APPLICATION SERVICES WITH CONTROLLED
ACCESS INTO PRIVILEGED PROCESSES

Group Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION
Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility patent application;
2. Patent Transmittal letter;
3. 5 Sheets of Drawings;
4. Executed Declaration and Power of Attorney;
5. Fee Transmittal;
6. Recordation Form Cover Sheet PTO 1595 with Executed Assignment;
7. Check in the amount of \$730.00;
8. Certificate of Mailing by Express Mail; and
9. Return post card

relating to the above application, were deposited as "Express Mail", Mailing Label
No. EL566991273US, with the United States Postal Service, addressed to Box PATENT
APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231,

Date

8/30/00

Date

8/30/00

Mailer

Stuart T. Langley
Reg. No. 33,940
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax